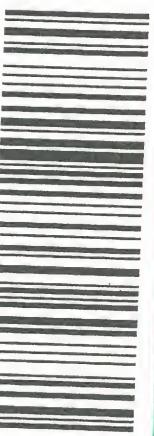


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OF THE RETURN ADDRESS, FOLD AT DOTTED LINE

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COMMANDANT (CG-2)  
Coast Guard Headquarters  
2703 MLK Jr. Ave SE, Stop 7301  
Washington, DC 20593-7301



7003 2260 0004 3756 4311

Benjamin Goode  
American Civil Liberties Union  
125 Broad St - 18th Floor  
New York, NY 10004

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Assistant Commandant for Intelligence  
United States Coast Guard

2703 Martin Luther King Jr Ave SE  
STOP 7301  
WASHINGTON DC 20593-7360  
Staff Symbol: CG-2  
Phone: (202) 372-2601

5720  
FOIA 2016-CGFO-01348

Benjamin Goode  
American Civil Liberties Union  
125 Broad St – 18<sup>th</sup> Floor  
New York, NY 10004

Dear Mr. Goode:

This is the final response to your Freedom of Information Act (FOIA) request to the U.S. Coast Guard (USCG), dated March 3, 2016, and received by this office on April 6, 2016.

The following is what you requested and our response to that request:

**1) Policies, standards, and guidance related to prepublication review**

USCG response: We located one (1) responsive page from the United States Coast Guard Sensitive Compartmented Information Administrative Handbook which consists of 84 total pages. I have determined that the one responsive page is partially releasable pursuant to Title 5 U.S.C. § 552 (b)(2). No responsive pages are withheld in their entirety. I am the person responsible for the partial denial of your request.

**2) Final legal analyses of past, present, or proposed prepublication-review requirements**

USCG response: Based on the information provided, we have been unable to locate any records responsive to your request.

**3) Final decisions of officials conducting prepublication reviews**

USCG response: 4 prepublication reviews cleared for release. We are withholding these documents in full pursuant to (i) Exemption 6, 5 U.S.C. § 552(b)(6) and Exemption (b)(3) as the content contains personal information, the disclosure of which would constitute a clearly unwarranted invasion of privacy.

**4) Correspondence w/former agency employees (or their representatives) who have submitted materials for prepublication review since 1/1/2009**

USCG response: We found one responsive record to this request. We are withholding this document pursuant to (i) Exemption 6, 5 U.S.C. § 552(b)(6) as the content contains personal information, the disclosure of which would constitute a clearly unwarranted invasion of privacy.

5) Stats relating to prepublication review, including but not limited to information documenting the total #s of individuals who have submitted works for review, the total # of works submitted for review, the total # of submissions approved without change, the total # of submissions returned with proposed redactions or other modifications, the total # of pending submissions, and the duration of prepublication review processes

USCG response:

- a) Number of individuals submitting prepublication reviews: 4
- b) Works for review: 4
- c) Number approved without change: 4
- d) Number with proposed redactions or other modifications: 0
- e) Total pending: 0
- f) Duration of prepublication review process: One day to two weeks

6) Titles, subject matters, and dates of works submitted for prepublication review

USCG response:

- a) Resume. 10 Jun 14.
- b) Resume. 17 Sep 15.
- c) Resume. 11 Dec 15.
- d) Resume. 7 Jun 16.

7) Info about enforcements proceedings instituted or contemplated on the basis of violations of prepublication-review requirements, including breach-of-contract actions, agency administrative proceedings, and civil or criminal litigation.

USCG response: There were no enforcements proceedings instituted or contemplated as described in your request.

The search was performed from April 15, 2016 through May 12, 2016.

If you need any further assistance or would like to discuss any aspect of your request, please contact the Directorate that processed your request, which is the Assistant Commandant for Intelligence. You may send an e-mail to [efoia@uscg.mil](mailto:efoia@uscg.mil), call 202-475-3522, or you may contact our FOIA Public Liaison in the same manner. Additionally, you have a right to seek dispute resolution services from the Office of Government Information Services (OGIS) which mediates disputes between FOIA requesters and Federal agencies as a non-exclusive alternative to litigation. If you are requesting access to your own records (which is considered a Privacy Act request), you should know that OGIS does not have the authority to handle requests made under the Privacy Act of 1974. You may contact OGIS as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, e-mail at [ogis@nara.gov](mailto:ogis@nara.gov); telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

If you are not satisfied with the response to this request, you have the right to appeal. Should you wish to do so, you must send your appeal and a copy of this letter, within 90 days of the date of this letter, to: Commandant (CG-611), ATTN: FOIA APPEALS, 2703 Martin Luther King Ave. STOP 7710, Washington DC, 20593-7710 following the procedures outlined in the DHS regulations at 6 C.F.R. § 5.9. Your envelope and letter should be marked "FOIA Appeal." Copies of the FOIA and DHS regulations are available at [www.dhs.gov/foia](http://www.dhs.gov/foia).

Provisions of the FOIA allow us to recover part of the cost of complying with your request. In this instance, because the cost is below the \$14 minimum, there is no charge. 6 CFR § 5.11(d)(4).

If you need to contact our office again about this matter, please refer to FOIA 2015-CGFO-01348. This office can be reached at 202-372-2601.

Sincerely,



R. P. HAYES  
Rear Admiral, U.S. Coast Guard  
Assistant Commandant for Intelligence

Enclosures: SCI Handbook Excerpt

UNCLASSIFIED/[REDACTED]

(b) (2)



(b) (2)



(b) (2)



(b) (2)



(b) (2)

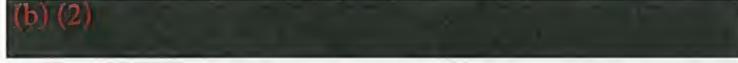


2.17 SECURITY REVIEW PROCESS (PREPUBLICATION REVIEW)

2.17.1 Prepublication review is required for any information that is derived from SCI or departmental intelligence activities destined for other than SCI channels. In this context, public disclosure includes any form of communication that is not protected as SCI. SCI related information or information derived from SCI must not be revealed in presentations, manuscripts, reports, resumes, performance reports or any other means that are not within SCI channels regardless of the classification.

2.17.2 Prior to public disclosure in any form, a SCI indoctrinated or debriefed individual will submit for security review all material intended for disclosure that may contain SCI or SCI derived information. Submit the material to the subject matter expert via the SSO. The subject matter expert will review the material and provide a recommendation to the SIO for review and concurrence.

2.17.3 Resumes or applications for employment which detail technical expertise gained through government (or industry) employment in classified or sensitive programs must be written in an unclassified context even if the potential employer is known to be cleared. A security review should be requested to resolve questions or concerns regarding possible classified content prior to submission to a potential employer. (b) (2)



UNCLASSIFIED/[REDACTED]

<b>TITLES OF WORKS</b>	<b>DATE OF SUBMISSION</b>	<b>DATE OF REVIEW</b>	<b>RESULT OF REVIEW</b>
Resume	10-Jun-14	13-Jun-14	Approved Without Change
Resume	17-Sep-15	24-Sep-14	Approved Without Change
Resume	11-Dec-15	21-Dec-15	Approved Without Change
Resume	07-Jun-16	07-Jun-16	Approved Without Change